

SIES (NERUL) COLLEGE OF ARTS, SCIENCE & COMMERCE
B. COM. (FINANCIAL MARKET)
Report (Visit to SEBI)

5th March, 2018

Title : Visit to Securities and Exchange Board of India (SEBI)
Date : March 5, 2018 (Monday)
Time : 10:30 a.m. - 12:30 p.m.
Program : Financial Literate and Financial Planning
Location : SEBI Bhavan, Plot No. C-4-A, G Block, Bandra Kurla Complex,
Mumbai – 400051
Class : TYBFM (32 Students attended the program)

The Preamble of the Securities and Exchange Board of India describes the basic functions of the Securities and Exchange Board of India as "...to protect the interests of investors in securities and to promote the development of, and to regulate the securities market and for matters connected there with or incidental there to".

The presentation by **Mr. Ansuman Pradhan** (office of Investor Assistance and Education) imparted the basic understanding regarding securities market:

1. Basics on Saving and Investment
2. How to chose the right investment options – liquidity, safety and return
3. Strategies for the Asset Allocation
4. The Constituents of Securities Market and their Regulator
5. The mechanism and functioning of Securities Market
6. Institutional Participants in Securities Market
7. Financial Products
8. Understanding Chit funds and Ponzi Schemes
9. Understanding the Trading , Clearing and Settlement Mechanism in Securities Market
10. Investor Protection and Grievances Redressal Mechanism

Ansuman Pradhan

Ms. Babita Kakkar
Assistant Professor
B.Com (FM)



[Signature]
PRINCIPAL
S.I.E.S. (NERUL) COLLEGE OF
ARTS, SCIENCE & COMMERCE
Sri Chandrasekarendra Saraswathy
Vidyapuram Plot No. C-4 Sector-V,
Nerul, New Mumbai - 400706.

(A)

SIES (NERUL) COLLEGE OF ARTS, SCIENCE AND COMMERCE

NAVI-MUMBAI

B.Com. (Financial Markets) [BFM]

2017-18 (C)

NOTICE

21/02/2018

All the students of class T.Y.BFM are hereby informed that the visit to the Securities and Exchange Board of India (SEBI) is planned on 5th March 2018.

The details for the same are as follows,

- 1. Venue: SEBI Bhavan, Plot No. C-4-A, G Block, Bandra Kurla Complex, Mumbai – 400051**
- 2. Timing: 10.30 AM to 12.30 PM**
- 3. Dress Code: Formal**

The students are requested to submit the duly filed undertaking form on or before 27th February 2018.



Ms. Priyanka Dukhande

Co-ordinator, BFM


Dr. Milind Vaidya

Principal




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Sri Chandrasekarwada Sasidwathy
Vidyapuram, Plot No. 1-C, Sector-V,
Nerul, Navi Mumbai - 400706.

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Respected Madam,

As per your request , your visit to SEBI is confirmed for the date as detailed below

Date : March 05, 2018

Time : 10.30 AM to 12.30 PM

Venue : SEBI Bhavan, Plot No. C-4-A, G Block, Bandra Kurla Complex, Mumbai -

We further request you to confirm your visit to SEBI and send us the list of attend

Do let us know if any clarification is required.

Regards / सादर,

Vishakha More / विशाखा मोरे,
Assistant General Manager / सहायक महाप्रबंधक,
Investor Awareness Division / निवेशक जागरूकता प्रभाग
Office of investor assistance and education/
निवेशक सहायता एवं शिक्षण विभाग
Securities and Exchange Board of India,
भारतीय प्रतिभूति और विनिमय बोर्ड,
Tel (D) /कार्यालय :+91 22 2644 9206

FIELD
VIEW

PRINCIPAL
S.I.E.S. (NERUL) COLLEGE OF
ARTS, SCIENCE & COMMERCE
Sri Chandrasekarendra Saraswathy
Vidyapuram, Plot No. 1-C Sector-V,
Nerul, New Mumbai - 400 706.



3/3/2018 12:10 PM

**SIES (NERUL) COLLEGE OF ARTS, SCIENCE & COMMERCE
NAVI MUMBAI**

**T.Y.B.COM. (F.M.) LIST OF STUDENTS FOR VISIT 2017-18
SEBI VISIT (5/3/2018) TIMING: 10:30 a.m. to 12:30 p.m.**

Sr No	Faculty	Designation	Faculty Name	SIGNATURE
1	FM15A01	TYBCOM(FM)-A	YACHNA RAMESH AARETHIYA	<i>Yachna</i>
2	FM15A02	TYBCOM(FM)-A	ANANDRAJ RAJKUMAR	<i>Anandraj</i>
3	FM15A03	TYBCOM(FM)-A	AAKARSHAN RAJIV ARORA	<i>Aakarshan</i>
4	FM15A07	TYBCOM(FM)-A	VIDHI PURSHWARDHAN BHATT	
5	FM15A09	TYBCOM(FM)-A	SHUBHAM UDAYKUMAR BUTE	
6	FM15A12	TYBCOM(FM)-A	PRACHI VIJAY CHAURASIA	
7	FM15A13	TYBCOM(FM)-A	SRUCHI VIJAY CHAURASIA	
8	FM15A15	TYBCOM(FM)-A	SHENAZ FARHAD ELAVIA	<i>Shenaz</i>
9	FM15A21	TYBCOM(FM)-A	ADARSH INDRAKANT JHA	<i>Adarsh</i>
10	FM15A22	TYBCOM(FM)-A	BHAGYASHREE SOMNATH KASHWED	<i>Bhagyasree</i>
11	FM15A23	TYBCOM(FM)-A	SANYA SUNIL KOTIAN	<i>Sanya</i>
12	FM15A26	TYBCOM(FM)-A	LALITHA SANGAMESWARAN M S	<i>Lalitha</i>
13	FM15A28	TYBCOM(FM)-A	SIDDHANT SHYAMBIHARI MAHTO	<i>Siddhant</i>
14	FM15A29	TYBCOM(FM)-A	SHOBHIT BHARAT MATHUR	<i>Shobhit</i>
15	FM15A31	TYBCOM(FM)-A	NAWAL V.HUSAIN TISEKAR	
16	FM15A32	TYBCOM(FM)-A	ASMITA KANJI NOR	<i>Asmita</i>
17	FM15A36	TYBCOM(FM)-A	PRACHI VIKAS PATIL	<i>Prachi</i>
18	FM15A37	TYBCOM(FM)-A	VAISHALI NARAYAN PATIL	<i>Wati</i>
19	FM15A38	TYBCOM(FM)-A	ADITYA VASUDEVAN-PILLAI	<i>Aditya</i>



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Vidyapeetham
Nerul, Mumbai - 400 006

20	FM15A39	TYBCOM(FM)-A	HABIBA ASAD QURESHI	
21	FM15A41	TYBCOM(FM)-A	PRATIKSHA GAVISIDDAPPA RAJUR	
22	FM15A42	TYBCOM(FM)-A	AISHWARYA BABAN RASKAR	
23	FM15A43	TYBCOM(FM)-A	SUBHRADAL SATADAL RAY	
24	FM15A45	TYBCOM(FM)-A	BENZIL BECKET SANTHMAYOR	
25	FM15A47	TYBCOM(FM)-A	VINAY JYOTI SAWAKHANDE	
26	FM15A48	TYBCOM(FM)-A	SHAIKH SHAHIDDIN SHAIKH	
27	FM15A49	TYBCOM(FM)-A	SHASHANK VIRMANI	
28	FM15A52	TYBCOM(FM)-A	PRANAM MAHABAL SHETTY	
29	FM15A54	TYBCOM(FM)-A	RUSHALI SHIVKUMAR TANEJA	
30	FM15A55	TYBCOM(FM)-A	RAJ SANJAY THAKUR	
31	FM15A58	TYBCOM(FM)-A	ESTELLA LAZAR VELLARA	
32	FM15A59	TYBCOM(FM)-A	ROSHNI SHRIKANT YADAV	
33	FM14A03	TYBCOM(FM)-A	PRATHMESH VILAS BHANDARE	
34	FM14A08	TYBCOM(FM)-A	VAIBHAV RAMAKANT BORKAR	
35	FM14A24	TYBCOM(FM)-A	TANMAY CHANDRASHEKHAR KHANDE	
36	FM14A27	TYBCOM(FM)-A	GAYATRI VENKATESH MEDSARE	
37	FM14A34	TYBCOM(FM)-A	MAZIN ZAFAR PARDESI	
38	FM14A47	TYBCOM(FM)-A	ZARRIN HIMAYATULLAH SHAIKH	
39	FM14A54	TYBCOM(FM)-A	CHAITANYA SANJEEV SURYAWANSHI	
40	FM.15.56	TYBCOM(FM)-A	RAJ RAJAISHWARYA RAJBIR THAPA	

= 32



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 Nerul, Chennai - 600 119.